## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:

Lynn Dickey et al.

10/675,011

Appl. No.:

September 30, 2008

Confirmation No.: 5538 Group Art Unit:

1638 Examiner: Li Zheng

Filed: For:

EXPRESSION OF BIOLOGICALLY ACTIVE POLYPEPTIDES IN DUCKWEED

ATTN: Refund Section, Accounting Division, Office of Finance Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

REQUEST FOR REFUND (37 C.F.R. §1.28(a))

## SUBMISSION OF STATEMENT

Applicants claim small entity status because small entity status is entitled to be asserted for 冈 the above-identified application.

## REFUND REQUEST AND MANNER OF REFUND

The Issue Fee in the amount of \$1,510 was timely paid in the above-identified application on August 12, 2011, via a charge against Alston & Bird LLP Deposit Account No. 16-0605 for Fee Code 1501, as shown on the enclosed statement for this account, dated August 2011, at page 7, line 32. The Issue Fee was paid based on large entity status as previously asserted for this application.

This is a request for a refund of \$755, as small entity status is entitled to be asserted for the above-identified application. This request for refund is made within three months of the date this Issue Fee was paid.

Please make refund by crediting our Deposit Account No. 16-0605.

Respectfully submitted,

/leslie t. henry/

Leslie T. Henry Registration No. 45,714

Customer No. 00826 ALSTON & BIRD LLP Bank of America Plaza 101 South Tryon Street, Suite 4000 Charlotte, NC 28280-4000 Tel Research Triangle Area Office (919) 862-2200 Fax Research Triangle Area Office (919) 862-2260

ELECTRONICALLY FILED USING THE EPS-WEB ELECTRONIC FILING SYSTEM OF THE UNITED STATES PATENT & TRADEMARK OFFICE ON SEPTEMBER 9, 2011.





## Deposit Account Statement

Requested Statement Month: Deposit Account Number:

Name:

Attention:

Street Address 1:

Street Address 2:

City: State:

Zip:

Country:

August 2011

160605

ALSTON & BIRD LLP

BANK OF AMERICA

101 SOUTH TRYON STREET

**SUITE 4000** 

CHARLOTTE

NC

28280-4000

UNITED STATES

DATE SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
08/01 892	12171338	941-012580-US (D01)	1801	\$810.00	\$95,044.49
08/01 891	12171338	941-012560-US (D01)	1251	\$130.00	\$94,914.49
08/01 1432	12865265	033794/393357	8021	\$40.00	\$94,874.49
08/01 2216	11121748	042933/302232	1251	\$130.00	\$94,744.49
08/01 2755	13147062	033339/408543	1642	\$430.00	\$94,314.49
08/01 2754	13147062	033338/408543	1631	\$330.00	\$93,984.49
08/01 2758	13147082	033339/408643	1633	\$220.00	\$93,764.49
08/01 3128	11815954	038779/332231	1501	\$1,510.00	\$92,254.49
08/01 3129	11815954	038779/332231	1504	\$300.00	\$91,854.49
08/01 3928	13097859	054101/405123	2251	\$65.00	\$91,889.49
08/01 3941	12044345	033339/341760	1202	\$52.00	\$91,837.49
08/01 3942	12044345	033339/341760	1251	\$130.00	\$91,707.49
08/01 4290	11559024	035718/391315	1814	\$140.00	\$91,567.48
08/01 4289	11559024	035718/391315	1251 .	\$130.00	\$91,437.49
08/01 4382	13194308	067336/404267	4011	\$82.00	\$91,355.49
08/01 4383		057338/404267	2111	\$270.00	\$91,085.49
08/01 4384	13194308	057336/404267	2311	\$110.00	\$90,975.49
08/01 4632		057774-405181	2005	\$110.00	\$90,885.49
	61501117	057774-405181	2052	\$25.00	\$90,840.49
08/01 5840		50704.324548	1808	\$180.00	\$90,660.49
08/01 5925		042933/387242	1253	\$1,110.00	\$89,550.49
08/01 6142		042933/386017	1801	\$810.00	\$88,740.49
08/01 6141	11915200	042933/388017	1251	\$130.00	\$88,610.49
08/01 6320	12298428	038779/364075	1801	\$810.00	\$87,800.49
08/01 6659		048190/406029	1617	\$130.00	\$87,870.49
08/01 6826		048190/406029	8021	\$40.00	\$87,630.49
08/01 8056		031456/407378	1011	\$330.00	\$87,300.49
08/01 8058	13194825	031458/407378	1311	\$220.00	\$87,080.49
08/01 8059	13194625	031456/407378	1202	\$312.00	\$86,768.49
08/01 8057		031458/407378	1111	\$540.00	\$88,228.49
08/01 28	12995001	033339/399144	1251	\$130.00	\$88,098.49
08/01 6	13144580	058685/407782	1632	-\$640,00	\$88,638.49

	•				1801	\$810.00	\$87,334.49	
				042933/278200	1681	\$270.00	\$87,084.49	
				035718/403728	1252	\$490.00	\$88,574.49	
				035718/403728	8021		\$88,534.49	
				018360/291384			\$86,134.49	
0	8/12 2	2770		038190/405052	1462 2202		\$86,058.49	
				050898/342683		\$110.00	\$85,948.49	
O	8/12	3098		050898/342683	2201	\$1,264.00	\$84,682.49	
			PCT/US11/47418	55111/408318	1701	\$2,443.00	\$82,239.49	
0	18/12 ·		PCT/US11/47418		1704	- •	\$81,939.49	
C	8/12	4630	PCT/US11/47418	55111/408316	1703	\$300.00	\$81,699.49	
			PCT/US11/47418		1601	\$240.00	\$81,369.49	
C	8/12	4956	13207987	055111408305	1011	\$330.00	\$80,829.49	
. (	8/12	4956	13207987	055111/408305	1111	\$540.00	\$80,609.49	
C	)8/12 ·	4857	13207987	055111/408305	1311	\$220.00		
			13207987	055111/408306	1201	\$220.00	\$80,389.49	
			13207987	055111/408305	1202	\$884.00	\$79,505.49	
(	08/12	5454	12082926	042933/341141	1801	\$810.00	\$78,695.49	
			12803916	033339/381220	1806	\$180.00	\$78,515.49	
			12342749	060704/365005	1801	\$810.00	\$77,705.49	
			11806424	042933/374466	1252	\$490.00	\$77,215.49	
			11806424	042933/374488	1801	\$810.00	\$78,405.49	
			11585815	042933/374481	1251	\$130.00	\$76,275.49	
	08/12	7772	PCT/US11/47453	045011/409035	1701	\$1,264.00	\$75,011.49	
	08/12	7774	PCT/US11/47453	045011/409035	1704	\$2,443.00	\$72,588.49	
i	08/12	7773	PCT/US11/47453	045011/409035	1703	\$855.00	\$71,719.49	
ĺ	08/12	7771	PCT/US11/47453	045011/409035	1601	\$240.00	\$71,473.49	
			11470307	042933/315702	1251	\$130.00	\$71,343.49	
			12403342	057449-394512	1253	\$1,110.00	\$70,233.49	
			12403342	057449-394512	1808	\$180.00	\$70,053.49	
	08/12	12025	12952778	035510/398317	1251	\$130.00	\$69,923.49	
			12952778	035510/398317 040989/267934	1251 1504	\$300.00	\$69,623.49	
	08/12	12992	10875011			\$300.00 \$1,610.00	\$69,623,49 \$68,113.49	_
	08/12 08/12	12992 12991	10875011 10875011	040989/267934	1504	\$300.00 \$1,610.00 \$1,510.00	\$69,623,49 \$68,113.49 \$68,603.49	-
	08/12 08/12 08/12	12992 12991 13695	10675011 10675011 12534405	040989/267934 040989/267934	1504 1501	\$300.00 \$1,510.00 \$1,510.00 \$300.00	\$69,623,49 \$68,113,49 \$86,603,49 \$66,303,49	-
	08/12 08/12 08/12 08/12	12992 12991 13695 13696	10875011 10675011 12534405 12534405	040989/267934 040989/267934 035718/373799	1504 1501 1501	\$300.00 \$1,610.00 \$1,510.00	\$69,623,49 \$68,113,49 \$66,603,49 \$66,303,49 \$68,203,49	-
	08/12 08/12 08/12 08/12 08/12 08/12	12992 12991 13695 13696 13844	10875011 10875011 12534405 12534405 3023749	040989/267934 040989/267934 036718/373799 035718/373799	1504 1501 1501 1504	\$300.00 \$1,510.00 \$1,510.00 \$300.00	\$69,623.49 \$68,113.49 \$66,603.49 \$66,303.49 \$86,203.49 \$68,103.49	-
	08/12 08/12 08/12 08/12 08/12 08/15	12992 12991 13695 13696 13844 58	10675011 10675011 12534405 12534405 3023749 3429847	040989/267934 040989/267934 036718/373799 035718/373799 66479/390190 46788/330360	1504 1501 1501 1504 7205	\$300.00 \$1,510.00 \$1,510.00 \$300.00 \$100.00	\$69,623,49 \$68,113,49 \$66,603,49 \$66,303,49 \$66,203,49 \$66,103,49 \$84,593,49	-
	08/12 08/12 08/12 08/12 08/12 08/15 08/15	12992 12991 13695 13696 13844 58 685	10675011 10675011 12534405 12534405 3023749 3429847 11887784	040989/267934 040989/267934 036718/373799 035718/373799 66479/390190	1504 1501 1501 1504 7205 7212	\$300.00 \$1,510.00 \$1,510.00 \$300.00 \$100.00 \$100.00	\$69,623,49 \$68,113,49 \$66,603,49 \$66,303,49 \$66,203,49 \$68,103,49 \$84,593,49 \$64,283,49	-
	08/12 08/12 08/12 08/12 08/12 08/15 08/15	12992 12991 13695 13696 13844 58 685 686	10875011 10675011 12534405 12534405 3023749 3429847 11887784 11887784	040989/267934 040989/267934 036718/373799 035718/373799 66479/390190 46788/330360 H0009321-2930	1504 1501 1501 1504 7205 7212 1501	\$300.00 \$1,610.00 \$1,610.00 \$300.00 \$100.00 \$100.00 \$1,510.00	\$69,623,49 \$68,113.49 \$66,603.49 \$66,303.49 \$66,303.49 \$66,103.49 \$84,593.49 \$64,293.49 \$63,993.49	
	08/12 08/12 08/12 08/12 08/12 08/15 08/15 08/15	12992 12991 13695 13695 13844 58 685 686 968	10875011 10675011 12534405 12534405 3023749 3429847 11887784 11887784 11628810	040989/267934 040989/267934 036718/373799 035718/373799 66479/390190 46768/330360 H0009321-2930 H0009321-2930	1504 1501 1501 1504 7205 7212 1501 1504	\$300.00 \$1,510.00 \$1,510.00 \$300.00 \$100.00 \$100.00 \$1,510.00 \$300.00	\$69,623,49 \$68,113,49 \$66,603,49 \$66,303,49 \$66,203,49 \$66,103,49 \$84,593,49 \$64,293,49 \$63,993,49 \$62,483,49	
	08/12 08/12 08/12 08/12 08/12 08/15 08/15 08/15 08/15	12992 12991 13695 13696 13844 58 685 686 968 968	10875011 10675011 12534405 12534405 3023749 3429847 11887784 11887784 11628610	040989/267934 040989/267934 036718/373799 035718/373799 66479/390190 46768/330360 H0009321-2930 H0009321-2930 H0007233-2910 H0007233-2910	1504 1501 1501 1504 7205 7212 1501 1504	\$300.00 \$1,610.00 \$1,510.00 \$300.00 \$100.00 \$100.00 \$1,510.00 \$300.00 \$300.00	\$69,623,49 \$68,113.49 \$66,603.49 \$66,303.49 \$66,303.49 \$66,103.49 \$84,593.49 \$64,293.49 \$63,993.49	_
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	08/12 08/12 08/12 08/12 08/12 08/15 08/15 08/15 08/15 08/15 08/15	12992 12991 13695 13696 13844 58 685 686 968 967 1338 1339	10875011 10675011 12534405 12534405 3023749 3429847 11887784 11887784 11628610 09842486 09842466	040989/287934 040989/267934 036718/373799 035718/373798 66479/390190 46788/330360 H0009321-2930 H0009321-2930 H0007233-2910 H0007233-2910 36578/233803 35576/233803	1504 1501 1501 1504 7205 7212 1501 1504 1504 1501	\$300.00 \$1,610.00 \$1,510.00 \$300.00 \$100.00 \$100.00 \$1,510.00 \$300.00 \$300.00 \$1,510.00 \$1,510.00	\$69,623,49 \$68,113,49 \$86,603,49 \$66,303,49 \$86,203,49 \$86,103,49 \$84,593,49 \$84,293,49 \$62,483,49 \$60,973,49	_
	08/12 08/12 08/12 08/12 08/12 08/15 08/15 08/15 08/15 08/15 08/15	12992 12991 13695 13696 13844 58 685 686 968 968 1338 1339	10875011 10675011 12534405 12534405 3023749 3429847 11887784 11887784 11828610 11628610 09842486 09842466 12871731	040989/287934 040989/267934 036718/373799 035718/373798 66479/390190 46788/330360 H0009321-2930 H0009321-2930 H0007233-2910 H0007233-2910 36578/233803 42933/408081	1504 1501 1501 1504 7205 7212 1501 1504 1504 1501 1501	\$300.00 \$1,610.00 \$1,510.00 \$300.00 \$100.00 \$100.00 \$1,510.00 \$300.00 \$1,510.00 \$1,510.00 \$300.00	\$69,623,49 \$68,113,49 \$88,603,49 \$66,303,49 \$66,203,49 \$88,103,49 \$84,593,49 \$84,293,49 \$62,483,49 \$60,973,49 \$80,673,49	_
	08/12 08/12 08/12 08/12 08/12 08/15 08/15 08/15 08/15 08/15 08/15 08/15	12992 12991 13695 13695 13696 13844 58 685 686 967 1338 1339 1364 1901	10875011 10675011 12534405 12534405 13023749 3429847 11887784 11887784 11628610 09842486 09842466 12871731 PCT/US11/4765	040989/287934 040989/267934 036718/373799 035718/373798 66479/390190 46788/330360 H0009321-2930 H0009321-2930 H0007233-2910 H0007233-2910 36576/233803 35576/233803 42933/408081 3 55718/408391	1504 1501 1501 1504 7205 7212 1501 1504 1504 1501 1504 8007	\$300.00 \$1,610.00 \$1,510.00 \$300.00 \$100.00 \$100.00 \$1,510.00 \$300.00 \$300.00 \$1,510.00 \$300.00 \$300.00 \$300.00	\$69,623,49 \$68,113,49 \$88,603,49 \$66,303,49 \$66,303,49 \$68,103,49 \$84,593,49 \$63,993,49 \$62,483,49 \$60,973,49 \$60,673,49 \$80,653,49 \$80,653,49 \$80,413,49 \$59,149,49	_
	08/12 08/12 08/12 08/12 08/12 08/15 08/15 08/15 08/15 08/15 08/15 08/15 08/15	12992 12991 13695 13696 13844 58 685 686 968 967 1338 1339 1364 1901	10875011 10675011 12534405 12534405 12534405 3023749 3429847 11887784 11887784 11628610 09842466 09842466 12871731 PCT/US11/4755	040989/287934 040989/267934 036718/373799 035718/373798 66479/390190 46788/330360 H0009321-2930 H0009321-2930 H0007233-2910 H0007233-2910 36576/233803 42933/408081 3 35718/408391 3 35718/408391	1504 1501 1501 1504 7205 7212 1501 1504 1504 1501 1504 8007 1601	\$300.00 \$1,610.00 \$1,510.00 \$300.00 \$100.00 \$100.00 \$1,510.00 \$300.00 \$1,510.00 \$1,510.00 \$300.00 \$20.00 \$20.00 \$20.00	\$69,623,49 \$68,113,49 \$66,303,49 \$66,303,49 \$66,203,49 \$68,103,49 \$84,593,49 \$63,993,49 \$62,483,49 \$60,973,49 \$60,673,49 \$60,653,49 \$60,653,49 \$60,413,49	_
	08/12 08/12 08/12 08/12 08/15 08/15 08/15 08/15 08/15 08/15 08/15 08/15 08/15 08/15	12992 12991 13695 13696 13844 58 685 685 686 968 967 1338 1339 1364 1901 1902	10875011 10675011 12534405 12534405 3023749 3429847 11887784 11887784 11628610 09842486 09842466 12871731 PCT/US11/4755 PCT/US11/4755	040989/287934 040989/267934 036718/373799 035718/373798 66479/390190 46788/330360 H0009321-2930 H0009321-2930 H0007233-2910 H0007233-2910 36576/233803 35576/233803 42933/408081 3 35718/408391 3 35718/408391	1504 1501 1501 1504 7205 7212 1501 1504 1504 1501 1504 8007 1601 1701	\$300.00 \$1,610.00 \$1,510.00 \$300.00 \$100.00 \$100.00 \$1,510.00 \$300.00 \$1,510.00 \$1,510.00 \$300.00 \$20.00 \$20.00 \$240.00 \$1,264.00	\$69,623,49 \$68,113,49 \$88,603,49 \$66,303,49 \$66,303,49 \$68,103,49 \$84,593,49 \$63,993,49 \$62,483,49 \$60,973,49 \$60,673,49 \$80,653,49 \$80,653,49 \$80,413,49 \$59,149,49	
	08/12 08/12 08/12 08/12 08/15 08/15 08/15 08/15 08/15 08/15 08/15 08/15 08/15 08/15 08/15	12992 12991 13695 13696 13844 58 685 685 686 968 967 1338 1339 1364 1901 1902 1903	10875011 10675011 12534405 12534405 12534405 3023749 3429847 11887784 11887784 11628610 09842486 09842466 12871731 PCT/US11/4755 PCT/US11/4755 PCT/US11/4755	040989/287934 040989/267934 036718/373799 035718/373798 66479/390190 46788/330360 H0009321-2930 H0009321-2930 H0007233-2910 H0007233-2910 36576/233803 35576/233803 42933/408081 3 35718/408391 3 35718/408391 3 35718/408391	1504 1501 1501 1504 7205 7212 1501 1504 1504 1501 1504 8007 1601 1701 1703	\$300.00 \$1,610.00 \$1,510.00 \$300.00 \$100.00 \$100.00 \$1,510.00 \$300.00 \$1,510.00 \$300.00 \$20.00 \$20.00 \$240.00 \$2,880.00	\$69,623,49 \$68,113,49 \$66,303,49 \$66,303,49 \$66,203,49 \$68,103,49 \$84,593,49 \$63,993,49 \$62,483,49 \$60,973,49 \$60,673,49 \$60,653,49 \$60,653,49 \$60,413,49 \$59,149,49 \$56,289,49	
	08/12 08/12 08/12 08/12 08/15 08/15 08/15 08/15 08/15 08/15 08/15 08/15 08/15 08/15 08/15 08/15	12992 12991 13695 13696 13844 58 685 685 686 968 967 1338 1339 1364 1901 1902 1903 1904 2703	10875011 10675011 12534405 12534405 3023749 3429847 11887784 11887784 11628610 09842466 12871731 PCT/US11/4755 PCT/US11/4755 PCT/US11/4755 12235167	040989/287934 040989/267934 036718/373799 035718/373798 66479/390190 46788/330360 H0009321-2930 H0009321-2930 H0007233-2910 H0007233-2910 36576/233803 35576/233803 42933/408081 3 35718/408391 3 35718/408391 3 35718/408391 3 35718/408391 050704/337438	1504 1501 1501 1504 7205 7212 1501 1504 1504 1501 1504 8007 1601 1701 1703 1704	\$300.00 \$1,510.00 \$1,510.00 \$100.00 \$100.00 \$100.00 \$1,510.00 \$300.00 \$1,510.00 \$300.00 \$20.00 \$20.00 \$240.00 \$2,880.00 \$2,443.00	\$69,623,49 \$68,113.49 \$66,303.49 \$66,303.49 \$66,203.49 \$68,203.49 \$64,593.49 \$63,993.49 \$62,483.49 \$60,973.49 \$60,653.49 \$60,653.49 \$60,413.49 \$59,149.49 \$56,289.49 \$53,826.49	
	08/12 08/12 08/12 08/12 08/15 08/15 08/15 08/15 08/15 08/15 08/15 08/15 08/15 08/15 08/15 08/15 08/15	12992 12991 13695 13696 13844 58 685 685 968 968 1339 1364 1901 1902 1903 1904 2703 2748	10875011 10675011 12534405 12534405 12534405 3023749 3429847 11887784 11887784 11628610 09842486 09842466 12871731 PCT/US11/4755 PCT/US11/4755 PCT/US11/4755 12235167 PCT/US11/4758	040989/287934 040989/267934 036718/373799 035718/373798 66479/390190 46788/330360 H0009321-2930 H0009321-2930 H0007233-2910 H0007233-2910 36576/233803 35576/233803 42933/408081 3 35718/408391 3 35718/408391 3 35718/408391 3 35718/408391 3 35718/408391 050704/337438 7 35718/408356	1504 1501 1501 1504 7205 7212 1501 1504 1504 1501 1504 8007 1601 1701 1703 1704 1251	\$300.00 \$1,610.00 \$1,510.00 \$100.00 \$100.00 \$100.00 \$300.00 \$300.00 \$1,510.00 \$300.00 \$20.00 \$20.00 \$2,880.00 \$2,443.00 \$130.00	\$69,623,49 \$68,113.49 \$66,303.49 \$66,303.49 \$66,203.49 \$68,103.49 \$84,593.49 \$63,993.49 \$62,483.49 \$60,973.49 \$60,653.49 \$60,653.49 \$60,413.49 \$59,149.49 \$56,289.49 \$53,826.49 \$53,826.49	
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